

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Large Patent Application of )

Satoshi KONDO et al. )

Serial No. 09/830,920 )

Filed: May 3, 2001 )

For: STREAM CONVERTING METHOD )

AND APPARATUS THEREOF, )

AND STREAM RECORDING )

METHOD AND APPARATUS )

THEREOF )

Attention: Applications Branch

## CERTIFICATE OF MAILING

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Class Mail in an envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on July 5, 2002

April CampbellAttention: Application Processing DivisionREQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of Patents

Washington, D.C. 20231

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Technology Center 2600

Sir:

The Filing Receipt received in the above-identified application has errors thereon due to  
Patent Office error as shown in red on the attached copy.

In view of the above, it is requested that a Corrected Filing Receipt be issued.

Respectfully submitted,

Donald R. Studebaker  
Reg. No. 32,815

Nixon Peabody LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
(703) 770-9300

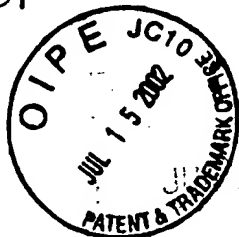


## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/830,920	<del>07/31/2001</del> 5/3/01	2615	1500	0819-551	7	10	6

22204  
NIXON PEABODY, LLP  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102



JUL 17 2002

PM 6/17/02

CONFIRMATION NO. 5216

CORRECTED FILING RECEIPT



\*OC000000008270469\*

Date Mailed: 06/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Satoshi Kondo, Kyoto, JAPAN;

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**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/JP00/06177 09/08/2000

**Foreign Applications**

JAPAN 11/257016 09/10/1999

If Required, Foreign Filing License Granted 06/11/2002

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

**Title**

Stream Converting Method And Apparatus Thereof And Stream Recording Method And  
Apparatus Thereof



**Preliminary Class**

386

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Docket No. 0819-0551

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1. Name of conveying party(ies):

Satoshi KONDO et al.

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3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Filing Date is incorrect- see item 6

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Matsushita Electric Industrial Co., Ltd.

Internal Address: \_\_\_\_\_

Street Address: 1006, Oaza Kadoma, Kadoma-shi

City: Osaka State: \_\_\_\_\_ Zip: \_\_\_\_\_

Country: JAPAN Postal Code: 571-8501

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/830,920

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Donald R. Studebaker

Firm Name: Nixon Peabody LLP

Internal Address: Suite 800

Street Address: 8180 Greensboro Drive,

City: McLean State: VA Zip: 22102

7. Total number of applications and patents involved: 1

8. Total fee (37 CFR 3.41) .....\$ \_\_\_\_\_

☐ Enclosed

☐ Authorized to be charged to deposit account

6. Submission Type

- ☐ New  
☐ Resubmission (Non-Recordation)  
Document ID# 102015467  
☒ Correction of PTO Error  
Reel # 012063 Frame # 0068  
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10. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Donald Studebaker 32,815

Name of Person Signing

Donald Studebaker

Signature

7/2/02

Date

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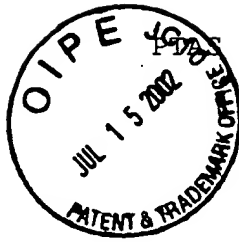


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OCTOBER 16, 2001

NIXON PEABODY LLP  
ERIC J. ROBINSON  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102



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RECORDATION DATE: 07/31/2001

REEL/FRAME: 012063/0068  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KONDO, SATOSHI

DOC DATE: 06/19/2001

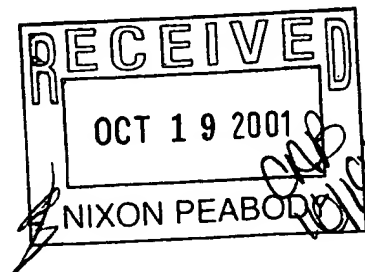
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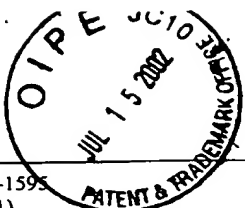
MATSUSHITA ELECTRIC INDUSTRIAL  
CO., LTD.  
1006, OAZA KADOMA  
KADOMA-SHI, OSAKA, JAPAN 571-8501

SERIAL NUMBER: 09830920  
PATENT NUMBER:

FILING DATE: 07/31/2001  
ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS





Rec'd PPT/PTO 31 JUL 2001  
09/830920 #3

08-16-2001



101813251

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(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

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Satoshi KONDO 7-31-01

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3. Nature of conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: June 19, 2001

2. Name and address of receiving party(ies)  
Name: Matsushita Electric Industrial Co., Ltd.  
Internal Address: \_\_\_\_\_

Street Address: 1006, Oaza Kadoma  
City: Kadoma-shi State: Osaka Zip: \_\_\_\_\_  
Country: Japan Postal Code: 571-8501

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s);  
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A. Patent Application No.(s)  
09/830,920

B. Patent No.(s)  
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Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Eric J. Robinson  
Internal Address: Nixon Peabody LLP

Street Address: 8180 Greensboro Drive,  
Suite 800  
City: McLean State: VA Zip: 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00  
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Eric J. Robinson, Reg. No. 38,285  
Name of Person Signing

Signature

7-30-01  
Date

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## ASSIGNMENT

WHEREAS, Satoshi KONDO (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in STREAM CONVERTING METHOD AND APPARATUS THEREOF, AND STREAM RECORDING METHOD AND APPARATUS THEREOF for which the undersigned has (have):

- (a) filed an application for Letters Patent of the United States of America on May 3, 2001 having Serial No. 09/830,920; or
- (b) executed an application for Letters Patent of the United States of America on the date(s) indicated below; and

WHEREAS, Matsushita Electric Industrial Co., Ltd. of 1006, Oaza Kadoma, Kadoma-shi, Osaka 571-8501, Japan its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto the Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to the application and any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to the Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

This Assignment has been executed by the undersigned on the date(s) indicated.

Date: June 19, 2001,

  
\_\_\_\_\_  
**Satoshi KONDO**

Date: \_\_\_\_\_,

\_\_\_\_\_

Date: \_\_\_\_\_,

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St. Louis, Missouri 63101-1693

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